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FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. William R. Keating
Status: Member
State/District: MA09

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2018
Filing Date: 05/9/2019

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
271 canton st [RP]	JT	\$100,001 - \$250,000	Rent	\$2,501 - \$5,000	<input type="checkbox"/>
LOCATION: stoughton, MA, US					
AQR Style Premier Alt [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Baird Aggregate Bond Inst [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
baird ultra short bond fund instl [MF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Black rock Strat Inc Opp [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Boston Partners Global [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Brandes Int SmallCap [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
BrownCapMgt SmallCo [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Com of Mass Retirement [PE]		\$100,001 - \$250,000	See Schedule C	\$100,001 - \$1,000,000	<input type="checkbox"/>
Dodge and Cox Inc [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Double line Ret Bond [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
fidelity contrafund [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Fidelity intermediate Muni Inc [MF]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Fidelity Real Estate Inc [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
FidelityCash Reserve [MF]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
iq heddge multi strategy; tracker [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
IShares Edge Multifactor Int [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
ishares msci eafe small cap index [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
ishares s and p 500 value index [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
ishares s and p midcap 400 value index [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
ishares trust core msci total intl stke [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
IShares Trust EAFE Gro [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
JP Morgan Core Bond [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Loomis Sayles Bond [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LSV Sm Cap Val Inst [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
spdr index shs fds msci acwi ex us [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
stone ridge alt lending [MF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
stone ridge elements us ports [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Stone Ridge Reinsurance Risk [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Stoneridge All Asset [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
touchstone sands cap emerg mrkts growth inst [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Trans America Mid Cap [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
TRowePrice MidCap Gro [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Tweedy Browne Global Val [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
US Airways Retirement [PE]		\$1,001 - \$15,000	See Schedule C	\$5,001 - \$15,000	<input type="checkbox"/>
vanguard 500 index [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Vanguard Balanced Index [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
vanguard extended mrkt etf s&p compl [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Vanguard federal Money Mkt [MF]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Vanguard Intermediate Tax [MF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard Tax Managed Balanced [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Versus Capital Real Assets [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
american beacon large cap value inst [MF]		02/15/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
american beacon large cap value [MF]		12/19/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
american beacon large cap value [MF]		10/4/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
aqr style premia alt [MF]		12/20/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
baird aggregate bond inst [MF]		10/4/2018	P	\$15,001 - \$50,000	
baird aggregate bond inst [MF]		02/15/2018	P	\$50,001 - \$100,000	
baird ultra short bond instl [MF]		12/28/2018	P	\$100,001 - \$250,000	
brandes international small cap equity [MF]		02/15/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
blackrock strategic income opps instl [MF]		10/4/2018	P	\$15,001 - \$50,000	
blackrock strategic income opps instl [MF]		02/15/2018	P	\$1,001 - \$15,000	
brandes international small cap [MF]		12/6/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
brandes international small cap equity [MF]		10/4/2018	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
brown capital mgmt small company inst [MF]		10/4/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
brown capital mgt small commpany [MF]		02/15/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
dodge & cox income [MF]		02/15/2018	P	\$50,001 - \$100,000	
doubleline total return [MF]		10/4/2018	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
doubleline total return [MF]		10/4/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
doubleline total return [MF]		02/15/2018	P	\$1,001 - \$15,000	
fidelity contra fund [MF]		10/4/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
fidelity intermediate muni income [MF]		10/4/2018	P	\$15,001 - \$50,000	
iq hedge multi strategy; tracker [MF]		12/20/2018	P	\$15,001 - \$50,000	
ishares currency hedge msci [MF]		05/29/2018	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
ishares currency hedge msci [MF]		02/15/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
ishares edge msci multifactor intl [MF]		10/4/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
ishares edge msci multifactor intl [MF]		02/15/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
ishares edge msci multifactor intl [MF]		12/27/2018	P	\$15,001 - \$50,000	
ishares edge msci multifactor usa [MF]		10/4/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
ishares edge msci multifactor usa [MF]		02/15/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
ishares msci eafe small cap index [MF]		12/6/2018	P	\$1,001 - \$15,000	
ishares s and p 500 value index [MF]		12/19/2018	P	\$50,001 - \$100,000	
ishares s and p midcap 400 value index [MF]		12/27/2018	P	\$1,001 - \$15,000	
ishares trust core msci total intl [MF]		12/13/2019	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>
ishares trust core msci total intl stke [MF]		10/4/2018	P	\$1,001 - \$15,000	
ishares trust core msci total intl stke [MF]		10/4/2018	P	\$1,001 - \$15,000	
ishares trust core msci total intl stke [MF]		05/29/2018	P	\$50,001 - \$100,000	
ishares trust core msci total intl stke [MF]		05/29/2018	P	\$1,001 - \$15,000	
ishares trust currency [MF]		05/29/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
ishares trust eafe growth [MF]		10/4/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
loomes sayles bond [MF]		10/4/2018	P	\$1,001 - \$15,000	
loomes sayles bond [MF]		02/15/2018	P	\$15,001 - \$50,000	
loomis sayles bond [MF]		12/28/2018	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>
lsv small cap value inst [MF]		02/15/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
lsv smallcap value institutional [MF]		10/4/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
mathews asian growth and income inst [MF]		02/15/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
mathews asian growth and income instl [MF]		10/4/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
mathews asian growth and income instl [MF]		10/4/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
pear tree polaris foreign value inst [MF]		02/15/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
spdr index shs fds msci acwi ex us [MF]		12/13/2018	P	\$1,001 - \$15,000	
stone ridge alt lending [MF]		10/4/2018	P	\$50,001 - \$100,000	
stone ridge alt lending [MF]		10/4/2016	P	\$15,001 - \$50,000	
stone ridge elements international [MF]		12/27/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
stone ridge elements international port [MF]		10/4/2018	P	\$15,001 - \$50,000	
stone ridge elements us ports [MF]		12/4/2018	P	\$15,001 - \$50,000	
stone ridge reinsurance risk premium interval risk [MF]		03/23/2018	P	\$1,001 - \$15,000	
t. row price mid cap growth [MF]		02/15/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
t. rowe price mid cap growth [MF]		10/4/2018	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
t. rowe price mid cap value [MF]		02/15/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
touchstone sands cap emerg mrkts growth inst [MF]		02/15/2018	P	\$15,001 - \$50,000	
touchstones sands cap emerg mrkts growth inst [MF]		10/4/2018	P	\$15,001 - \$50,000	
transamerica income growth [MF]		10/4/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
transamerica mid cap value [MF]		12/27/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
tweedy brown global value [MF]		10/4/2018	P	\$15,001 - \$50,000	
tweedy browne global value [MF]		02/15/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
vanguard 500 index [MF]		10/4/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
vanguard 500 index [MF]		10/4/2018	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
vanguard extended mrkt etf s&p compl [MF]		10/4/2018	P	\$15,001 - \$50,000	
vanguard intermediate term tax exempt adm [MF]		10/4/2018	P	\$15,001 - \$50,000	

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
commonwealth of massachusetts retirement plan	retirement plan	\$113,111.00
u.s. airways retirement plan	retirement plan spouse	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Sharon Credit Union	Nov. 2016	Residential Mortgage	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Director	Sharon Crdit Union

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 1999	Commonwealth of Massachusetts / self	Retirement Plan

Date	Parties To	Terms of Agreement
September 1996	U.S. Airways/spouse	Retirement Plan

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. William R. Keating , 05/9/2019